



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/074,162

Examiner: Lee, Guiyoung

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101103

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

<p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this <u>6th</u> day of <u>May</u>, 2003.</p> <p>By: <u>Brian N. Tufte</u></p>	<p>RECEIVED TECHNICAL CENTER MAY 12 2003</p>
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Dear Sir:

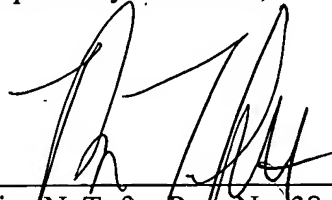
1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure

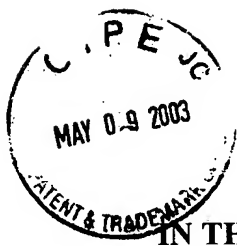
application not more than three months prior to the filing of the statement. 37 CFR
§1.97(e)(1).

Respectfully submitted,



Dated: May 6, 2003

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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By: _____

Brian N. Tufte

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: May 6, 2003

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Minneapolis, MN 55403-2402
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By: _____

Brian N. Tufte

Dear Sir:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,



Dated: May 6, 2003

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TRANSMITTAL SHEET

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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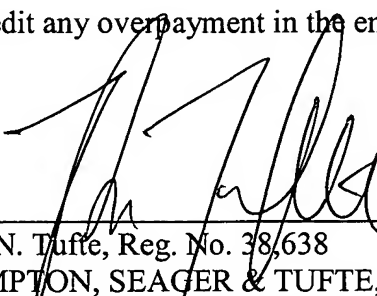
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By: _____

Brian N. Tufte

We are transmitting herewith the attached:

- ☒ Two (2) Certifications for Information Disclosure Statements.
- ☒ Two (2) Information Disclosure Statements, Form PTO-1449s and 2 cited references and 24 cited references.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.



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